



PATENTS  
CF-82

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick, et al.

Application No. : 10/615,721 Confirmation No. : 9421

Filed : July 8, 2003

For : SYSTEMS AND METHODS FOR IMPROVING THE LIQUIDITY AND DISTRIBUTION NETWORK FOR ILLIQUID ITEMS

Group Art Unit : 3629

New York, New York 10020  
December 4, 2003

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, applicants hereby make the following documents of record in the above-identified patent application:

U.S. Patent

6,321,212 B1 Lange November 20, 2001

U.S. Patent Application Publications

2002/0099640 A1	Lange	July 25, 2002
2002/0147670 A1	Lange	October 10, 2002

Other Documents

David Edwards, Patent Backed Securitization: Blueprint For a New Asset Class, pp. 1-11, March 1, 2002.

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

Because this Information Disclosure Statement is being filed before the mailing of a first Office action on the merits, no fee is believed due pursuant to 37 C.F.R. § 1.97(b)(3). However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

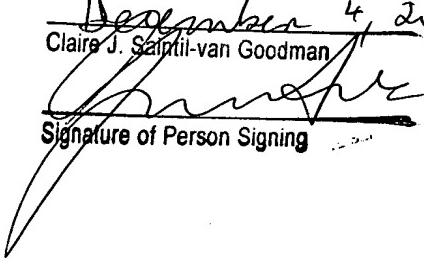
An early and favorable action is respectfully requested.

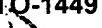
Respectfully submitted,

  
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I hereby certify that this Correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope Addressed to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 on

  
Claire J. Saintil-van Goodman

  
Signature of Person Signing

 <b>FORM PTO-1449</b> <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>	<b>ATTY. DOCKET NO.</b> <b>CF-82</b>	<b>APPLICATION NO.</b> <b>10/615,721</b>
<b>SUPPLEMENTAL INFORMATION</b> <b>DISCLOSURE STATEMENT BY APPLICANTS</b>	<b>APPLICANTS</b> Howard W. Lutnick et al.	<b>CONFIRMATION NO.</b> <b>9421</b>
<b>FILING DATE</b> July 8, 2003	<b>GROUP</b> <b>3629</b>	

## **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,321,212 B1	11/20/01	Lange	705	37	
	2002/0099640 A1	07/25/02	Lange	705	37	
	2002/0147670 A1	10/10/02	Lange	705	35	

## **FOREIGN PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicants.